



## Certificate E

### CERTIFIED SOPHISTICATED INVESTORS

*(Article 50, Financial Promotion Order. [SI 2005/1529])*

I make this statement so that I am able to receive promotions which are exempt from the restrictions on financial promotion in the Financial Services and Markets Act 2000. The exemption relates to certified sophisticated investors and I declare that I qualify as such in relation to investments of the following kind:

- Investments in stocks and shares of an unlisted company.

I accept that the contents of promotions and other material that I receive may not have been approved by an authorised person and that their content may not therefore be subject to controls which would apply if the promotion were made or approved by an authorised person. I am aware that it is open to me to seek advice from someone who specialises in advising on this kind of investment.

Name:

Signature:

Date:

**NOTE:** If relying on this Certified Sophisticated Investor certificate, please also send a copy of your Certification Certificate together with your completed Application Form or your application will be invalid.