



## Certificate B

### STATEMENT BY SELF-CERTIFIED SOPHISTICATED INVESTOR

*(Article 50A, Financial Promotion Order. [SI 2005/1529])*

I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act (Financial Promotion) Order 2005.

I understand that this means:

- a. I can receive financial promotions that may not have been approved by a person authorised by the Financial Services Authority;
- b. the content of such financial promotions may not conform to rules issued by the Financial Services Authority;
- c. by signing this statement, I may lose significant rights;
- d. I may have no right to complain to either of the following: -
  - i. the Financial Services Authority; or
  - ii. the Financial Ombudsman Scheme;
- e. I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a self-certified sophisticated investor because at least one of the following applies: -

- a. I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;
- b. I have made more than one investment in an unlisted company in the two years prior to the date below;
- c. I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;
- d. I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Name:

Signature:

Date: